

CODE OF CONDUCT

Last Updated: May 1, 2026

Structured Finance Industry Group, Inc. d/b/a Structured Finance Association, together with its affiliates (collectively, “SFA,” “we,” “our,” or “us”), is committed to creating and maintaining an engaging, productive, and respectful environment at its branded in-person and virtual events (each, an “Event”) that is welcoming and free from harassment, discrimination, and other inappropriate conduct. This Code of Conduct (“Code of Conduct”) applies to all individuals who participate in or attend an Event, including members, non-members, employees, speakers, sponsors, vendors, contractors, volunteers, and other guests (“Attendees”). This Code of Conduct applies at any venue, hotel, meeting room, or SFA office location where an Event takes place, as well as at any off-site location where Event-related social gatherings occur (collectively, the “Venue”).

1. EXPECTED CONDUCT. Attendees shall: (a) be considerate of and respectful to others; (b) refrain from harassing, discriminatory, or demeaning conduct; (c) promptly alert a member of SFA management or staff if they observe conduct that may violate this Code of Conduct; (d) comply with all rules, policies, and procedures of the facilities at which an Event is held; and (e) comply with all applicable laws and regulations in the jurisdiction where the Event is held.

2. UNACCEPTABLE CONDUCT. SFA prohibits harassment, discrimination, and other inappropriate conduct based on race, color, religion, national origin, sex, age, pregnancy, genetic information, military or veteran status, marital status, gender identity or expression, sexual orientation, disability or perceived disability, political affiliation, or any other characteristic protected by applicable law. Examples of prohibited conduct include, without limitation: (a) sexist, racist, homophobic, or other discriminatory jokes, slurs, insults, or comments; (b) unwelcome sexual advances or other unwelcome verbal or physical conduct of a sexual nature; (c) display of sexualized images or materials; (d) stalking, whether in person or online; (e) threatening, intimidating, or abusive language or physical conduct; and (f) inappropriate photography, video, or audio recording.

3. REPORTING PROCEDURE. Any Attendee who believes that they or another Attendee has been subjected to conduct that may violate this Code of Conduct, or who witnesses such conduct, should promptly report it to a member of SFA management or staff while on site at the Event or as soon as practicable thereafter. All reported concerns will be treated seriously and investigated promptly as appropriate. All Attendees are expected to cooperate fully and honestly in any investigation.

4. CONSEQUENCES. Attendees asked to stop conduct that may violate this Code of Conduct must comply immediately. SFA reserves the right, in its sole discretion and without liability, to refuse entry to, remove from the Event, or terminate the participation of any Attendee whose conduct violates this Code of Conduct or is otherwise objectionable, disorderly, disruptive, unlawful, or inappropriate, in each case without refund of any applicable registration fees. SFA may also disqualify such Attendee from attending future Events and take any other action SFA deems appropriate.

5. NO RETALIATION. SFA prohibits retaliation against any individual who, in good faith, reports a concern under this Code of Conduct or participates in the investigation of such a concern. SFA takes all allegations of harassment, discrimination, and other inappropriate conduct seriously and is committed to maintaining an Event environment free from such conduct.